



Your statement provides an overview of your account activity and positions for the current period. The statement is designed to help you make informed decisions about your account while helping you manage your investments effectively and plan for your financial future. Information provided in your statement includes:

- Positions in your account, grouped by investment type
- Current-period transaction activity
- Detailed information about your investments
- Cash management activity, including checking and debit card transactions, when applicable
- Realized and unrealized gain (loss) information at summary and lot levels

Screenshots are for illustrative purposes only.  
National Financial Services LLC, Member NYSE, SIPC  
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## How to Read Your Statement

**Comprehensive Investment Reporting  
with Cost Basis**

## Your Statement

QUALITY BROKERS, INC.  
125 MAIN STREET  
BOSTON, MA 02101

**Quality Brokers, Inc.**

**1** **General Account Information**  
JOHN DOE  
JANE DOE  
100 SUMMIT STREET  
BOSTON, MA 02109

**2** **For Your Information**  
We offer electronic monthly statements and such confirmations through our website. If you would like to receive your statements via email, please contact us at [statements@qualitybrokers.com](mailto:statements@qualitybrokers.com).

**3** **Total Value of Your Portfolio**  
For questions about your account, contact your investment professional at 800-555-1234 or visit [www.qualitybrokers.com](http://www.qualitybrokers.com).

**4** **Change in Value of Your Portfolio**  
This chart visually displays the change in value of your portfolio assets from the prior 12 months (or when the account was opened, if later) to the current period. The line graph tracks changes in portfolio value for the life of the account.

STATEMENT FOR THE PERIOD MONTH 1, YYYY TO MONTH 31, YYYY  
John and Jane Doe, JOHN WROCS  
Account Number: ABC-456827

CHANGE IN VALUE OF YOUR PORTFOLIO  
9 Months

TOTAL VALUE OF YOUR PORTFOLIO  
\$640,000.00

Account opened with National Financial Services, LLC (NFS), Member NYSE, SIPC.

**1 General Account Information**

Easily find your account number for placing orders or making inquiries. The contact information for your investment professional, including address and phone number(s), is displayed.

**2 For Your Information**

This section includes important information related to your account that may require further action.

**3 Total Value of Your Portfolio**

This section provides the value of your account at a glance, plus any insurance or annuity assets, if applicable.

**4 Change in Value of Your Portfolio**

This chart visually displays the change in value of your portfolio assets from the prior 12 months (or when the account was opened, if later) to the current period. The line graph tracks changes in portfolio value for the life of the account.

Your Annual Statement is customized to include information relevant to your account, so it may not include all the sections described here. Contact your investment representative if you have any questions.

Statement for the Period MONTH 1, YYYY TO MONTH 31, YYYY  
John and Jane Doe, JOHN WROCS  
Account Number: ABC-456827

**Quality Brokers, Inc.**

**5** **Account Overview**  
This section provides an overview of account information. The components include:

- Change in Account Value
- Misc. & Corporate Actions
- Margin Profile
- Income
- Taxes, Fees, and Expenses
- Account Allocation
- Realized Gain (Loss)

**6** **Change in Account Value**  
This section displays a summary of account activity for both the current period and year to date. Categories include additions and withdrawals; income; taxes, fees, and expenses; other activity; and change in value.

**7** **Margin Profile**  
This displays margin account information, including margin position's market value, margin balance, short position's market value, short balance, margin equity, margin equity percentage, equity buying power, and margin interest charged this period.

**8** **Income**  
This displays a summary of income earned in the current period and year to date.

ACCOUNT ALLOCATION  
Options 42%  
Fixed Income 30.0%  
Cash and Cash Equivalents 28.0%Other 0.0%

MARGIN PROFILE  
Margin Position's Market Value \$200,000.00  
Margin Balance \$100,000.00  
Margin Equity Percentage 50.0%

INCOME  
Dividend Income \$100.00  
Interest Income \$50.00  
Capital Gains \$200.00  
Total Income \$350.00

TAXES, FEES, AND EXPENSES  
Margin Interest (\$100.00)  
Account Fees (\$50.00)  
TOTAL TAXES, FEES, AND EXPENSES (\$150.00)

Account opened with National Financial Services, LLC (NFS), Member NYSE, SIPC.

**5 Account Overview**

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**8 Income**

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Statement for the Period MONTH 1, YYYY TO MONTH 31, YYYY  
John and Jane Doe, JOHN WROCS  
Account Number: ABC-456827

**Quality Brokers, Inc.**

**12** **Holdings**  
This section provides a detailed view of your portfolio positions as of the end of the statement period. This includes cash, equities, options, fixed income, mutual funds, exchange traded products, and other holdings.

**13** **Alert**  
This displays notification of specific events occurring in your account that may require further action.

**14** **Activity**  
This displays a recap of transactions that occurred in your account for the statement period. It includes details on trading, additions and withdrawals, income and expenses, and other activity.

Holdings  
20.17% of Total Account Value

ALERT: You have a Real Estate position due to mature within the next 90 days.

Activity  
GOME FUND ACTIVITY

Account opened with National Financial Services, LLC (NFS), Member NYSE, SIPC.

**9 Taxes, Fees, and Expenses**

This displays a summary of taxes, fees, and expenses paid in the current period and year to date.

**10 Account Allocation**

The pie chart shows an at-a-glance view of the account asset allocation, while the table provides more details for both the current and prior periods.

**11 Realized Gain (Loss)**

This provides a current period and year-to-date summary of gain (loss) information for transactions, with complete cost basis information.

**Messages (not shown)**

Read important messages from your investment firm, which may include information about regulatory issues, changes to your account, and new investment products.

**12 Holdings**

This section provides a detailed view of your portfolio positions as of the end of the statement period. This includes cash, equities, options, fixed income, mutual funds, exchange traded products, and other holdings.

Statement for the Period September 1, 2015, to September 31, 2015  
John and Jane Doe, JOHN WROCS  
Account Number: ABC-456827

**Quality Brokers, Inc.**

**15** **Realized Gain (Loss) Lot Detail—Year-To-Date**  
Reported on September's statement, this section provides lot-level realized gain (loss) information for transactions, with complete cost basis information.

**16** **Unrealized Gain (Loss) Lot Detail**  
Reported quarterly, this section provides lot-level unrealized gain (loss) information for transactions, with complete cost basis information.

**17** **Footnotes and Cost Information**  
This section explains important abbreviations used throughout the statement, and provides information related to cost basis and gain (loss) data.

Realized Gain (Loss) Lot Detail—Year-To-Date

MUTUAL FUNDS

Description	Date Acquired	Share/Unit	Quantity	Current	Cost	Gain/Loss
FUNDABC INCORP CLASS A	01/15/10	100.00	1.00	\$11.40	\$8.00	\$3.40
FUNDDEF INCORP CLASS A	01/15/10	200.00	2.00	\$12.30	\$10.00	\$2.30

Unrealized Gain (Loss) Lot Detail

MUTUAL FUNDS

Description	Account	Date Acquired	Share/Unit	Quantity	Market Value	Cost	Net Change
FUNDABC INCORP CLASS A	CASH	10/05/10	100.00	\$2.24	10.38	\$10.21	\$0.10

Footnotes and Cost Information

Account opened with National Financial Services, LLC (NFS), Member NYSE, SIPC.

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**15 Realized Gain (Loss) Lot Detail**

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**16 Unrealized Gain (Loss) Lot Detail**

Reported quarterly, this section provides lot-level unrealized gain (loss) information for transactions, with complete cost basis information.

**17 Footnotes and Cost Basis Information**

This section explains important abbreviations used throughout the statement, and provides information related to cost basis and gain (loss) data.